1	[COUNSEL LISTED ON SIGNATURE PAGE]		
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8	UNITED STATES DISTRICT COURT		
9	NORTHERN DISTRICT OF CALIFORNIA		
10	SAN FRANCI	SCO DIVISION	
11	WAYMO LLC,	CASE NO. 3:17-cv-00939 WHA	
12	Plaintiff,	JOINT SUBMISSION REGARDING THE	
13	VS.	JOINT STATEMENT OF THE CASE	
14	UBER TECHNOLOGIES, INC.,	Trial Date: February 5, 2018	
15	OTTOMOTTO LLC,		
16	Defendants.		
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		Case No. 3:17-cv-00939-WHA	
		JOINT SUBMISSION RE JOINT STATEMENT OF THE CASE	

## Case 3:17-cv-00939-WHA Document 2553 Filed 01/29/18 Page 2 of 3

1	Pursuant to Paragraph 8 of the Court's Guidelines for Trial and Final Pretrial Conference	
2	in Civil Jury Cases (last revised May 8, 2017), Plaintiff Waymo LLC and Defendants Uber	
3	Technologies, Inc. and Ottomotto LLC have conferred and agreed on the language of the Joint	
4	Statement of the Case, other than: (i) whether Waymo's asserted trade secrets should be referred	
5	to as "claimed" trade secrets (Waymo's proposal) or "alleged" trade secrets (Defendants'	
6	proposal) and (ii) the last paragraph of the statement. Attached as <b>Exhibit A</b> is Defendants'	
7	proposed version. Attached as <b>Exhibit B</b> is Waymo's proposed version. The parties will provide	
8	the Court with Word versions of both attachments at the final pretrial conference.	
9		
10	DATED: January 29, 2018 QUINN EMANUEL URQUHART & SULLIVAN, LLP	
11		
12	By /s/ Charles K. Verhoeven	
13	Charles K. Verhoeven	
14	Attorneys for Plaintiff WAYMO LLC	
15		
16	DATED: January 29, 2018 MORRISON & FOERSTER LLP	
17		
18	By /s/ Arturo J. González  Arturo J. González	
19		
20	Attorneys for Defendants UBER TECHNOLOGIES, INC. and	
21	OTTOMOTTO LLC	
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	-1- Case No. 3:17-cv-00939-WHA	

JOINT SUBMISSION RE JOINT STATEMENT OF THE CASE

ATTESTATION OF E-FILED SIGNATURE I, Arturo J. González, am the ECF User whose ID and password are being used to file this Joint Submission Regarding the Joint Statement of the Case. In compliance with Civil L.R. 5-1(i)(3), I hereby attest that Charles K. Verhoeven has concurred in this filing. Dated: January 29, 2018 /s/ Arturo J. González ARTURO J. GONZÁLEZ 

## **EXHIBIT A**

1 2	MICHAEL A. JACOBS (CA SBN 111664) MJacobs@mofo.com ARTURO J. GONZÁLEZ (CA SBN 121490) AGonzález@mofo.com		
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9	Washington, D.C. 20005 Tel: 202.237.2727 / Fax: 202.237.6131		
10	WILLIAM CARMODY (Pro Hac Vice)		
11	bcarmody@susmangodfrey.com SHAWN RABIN ( <i>Pro Hac Vice</i> ) srabin@susmangodfrey.com SUSMAN GODFREY LLP 1301 Avenue of the Americas, 32nd Floor New York, NY 10019-6023 Tel: 212.336.8330 / Fax: 212.336.8340  Attorneys for Defendants UBER TECHNOLOGIES, INC. and OTTOMOTTO LLC		
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15 16			
17	UNITED STATES DISTRICT COURT		
18	NORTHERN DISTRI	CT OF CALIFORNIA	
19	SAN FRANCI	SCO DIVISION	
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21	WAYMO LLC,	Case No. 3:17-cv-00939	
22	Plaintiff,	DEFENDANTS' STATEMENT OF THE	
23	VS.	CASE	
24	UBER TECHNOLOGIES, INC. and	Judge: The Honorable William Alsup	
25	OTTOMOTTO LLC,	Trial Date: February 5, 2018	
26	Defendants.		
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Pursuant to Paragraph 8 of the Court's Guidelines for Trial and Final Pretrial Conference in Civil Jury Cases (last revised May 8, 2017), Defendants Uber Technologies, Inc. and Ottomotto LLC provide the following joint statement of the case to be read to the jury during voir dire:

#### STATEMENT OF THE CASE

This is a case about trade secrets relating to self-driving car technology. The plaintiff, the entity that brought this case, is Waymo LLC. Waymo is a subsidiary of Google's parent company, Alphabet Inc. During this trial, Waymo may sometimes be referred to as "Google," and the self-driving car project at Google as "Project Chauffeur" or "Chauffeur." The defendants in this case are Uber Technologies, Inc. and Ottomotto LLC. During this trial, Ottomotto may sometimes be referred to as "Otto."

Waymo asserts that it owns eight separate trade secrets relating to something called LiDAR, which is technology that is used in some self-driving vehicles. Waymo accuses Uber and Ottomotto of misappropriating those eight Waymo trade secrets. Waymo asserts that Uber and Ottomotto's misappropriation of its trade secrets damaged Waymo and caused Uber and Ottomotto to be unjustly enriched. Waymo also claims that Uber and Ottomotto misappropriated its trade secrets willfully and maliciously.

Uber and Ottomotto deny Waymo's claims. Uber and Ottomotto deny that any of Waymo's alleged trade secrets are actual trade secrets. Uber and Ottomotto also deny that they misappropriated any of the alleged trade secrets. Uber and Ottomotto further deny that Waymo was damaged or that Uber or Ottomotto were unjustly enriched.

To be clear, the claims and defenses just described merely summarize the parties' arguments—the parties themselves will explain their positions at trial and the evidence presented will provide the facts.

To succeed on its claim for unjust enrichment based on alleged misappropriation of any given Alleged Trade Secret, Waymo must prove all of the following:

[The following is taken directly from Instruction IV of the Court's Penultimate Jury Instructions (Dkt 2449 at 2-3)]

1. That the Alleged Trade Secret qualified as an enforceable trade secret at the time

### Case 3:17-cv-00939-WHA Document 2553-1 Filed 01/29/18 Page 4 of 4

1		it was allegedly misapprop	priated;	
2	2. That the defendant improperly acquired, then used or disclosed			
3	the Alleged Trade Secret;			
4	3.	3. That the defendant was thereby unjustly enriched; and		
5	4.	That such use or disclosur	re was a substantial factor in	unjustly enriching the
6		defendant.		
7	No defendant may be held liable for a damage award as to any given Alleged Trade Secret			
8	unless all of these elements of proof are satisfied as to that defendant and as to that given Alleged			
9	Trade Secret. It is for you, the jury, to decide whether or not all of these elements have been			
10	proven for all of the Alleged Trade Secrets, for some of them, or for none of them.			
11	This is only a summary, you will be instructed on the specific questions you should			
12	address at the end of the parties' presentations.			
13				
14	Dated: January	29, 2018	MORRISON & FOERSTI BOIES SCHILLER FLEX	
15			SUSMAN GODFREY LL	
16				
17			By: <u>/s/ William Christoph</u> WILLIAM CHRISTO	ner Carmody OPHER CARMODY
18			Attorneys for Defend	
19			UBER TECHNOLO OTTOMOTTO LLC	GIES, INC. and
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Case No. 3:17-cv-00939-WHA
DEFENDANTS' STATEMENT OF THE CASE

# **EXHIBIT B**

### Case 3:17-cv-00939-WHA Document 2553-2 Filed 01/29/18 Page 2 of 4

1	QUINN EMANUEL URQUHART & SULLIVAN, LLP Charles K, Verhoeven (Bar No. 170151)		
2	Charles K. Verhoeven (Bar No. 170151) charlesverhoeven@quinnemanuel.com		
3	David A. Perlson (Bar No. 209502) davidperlson@quinnemanuel.com		
4	Melissa Baily (Bar No. 237649) melissabaily@quinnemanuel.com		
5	John Neukom (Bar No. 275887) johnneukom@quinnemanuel.com		
6	Jordan Jaffe (Bar No. 254886) jordanjaffe@quinnemanuel.com 50 California Street, 22 <sup>nd</sup> Floor		
7	San Francisco, California 94111-4788		
8	Telephone: (415) 875-6600 Facsimile: (415) 875-6700		
9	Attorneys for WAYMO LLC		
10	UNITED STATES DISTRICT COURT		
11	NORTHERN DISTRICT OF CALIFORNIA		
12	SAN FRANCISCO DIVISION		
13	WAYMO LLC,	CASE NO. 3:17-cv-00939	
14	Plaintiff,	WAYMO'S STATEMENT OF THE CASE	
15	vs.	Judge: The Honorable William Alsup	
16	UBER TECHNOLOGIES, INC. and OTTOMOTTO LLC,	Trial Date: February 5, 2018	
17	Defendants.		
18	Defendants.		
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		Case No. 3:17-cv-00939-WHA	

WAYMO'S STATEMENT OF THE CASE

Pursuant to Paragraph 8 of the Court's Guidelines for Trial and Final Pretrial Conference in Civil Jury Cases (last revised May 8, 2017), Plaintiff Waymo LLC provides the following statement of the case to be read to the jury during voir dire:

#### STATEMENT OF THE CASE

This is a case about trade secrets relating to self-driving car technology. The plaintiff, the entity that brought this case, is Waymo LLC. Waymo is a subsidiary of Google's parent company, Alphabet Inc. During this trial, Waymo may sometimes be referred to as "Google," and the self-driving car project at Google as "Project Chauffeur" or "Chauffeur." The defendants in this case are Uber Technologies, Inc. and Ottomotto LLC. During this trial, Ottomotto may sometimes be referred to as "Otto."

Waymo asserts that it owns eight separate trade secrets relating to something called LiDAR, which is technology that is used in some self-driving vehicles. Waymo accuses Uber and Ottomotto of misappropriating those eight Waymo trade secrets. Waymo asserts that Uber and Ottomotto's misappropriation of its trade secrets damaged Waymo and caused Uber and Ottomotto to be unjustly enriched. Waymo also claims that Uber and Ottomotto misappropriated its trade secrets willfully and maliciously.

Uber and Ottomotto deny Waymo's claims. Uber and Ottomotto deny that any of Waymo's claimed trade secrets are actual trade secrets. Uber and Ottomotto also deny that they misappropriated any of the claimed trade secrets. Uber and Ottomotto further deny that Waymo was damaged or that Uber or Ottomotto were unjustly enriched.

To be clear, the claims and defenses just described merely summarize the parties' arguments—the parties themselves will explain their positions at trial and the evidence presented will provide the facts.

[The following paragraph is patterned after the Court's Statement of the Case read to the jury during voir dire in Oracle America, Inc. v. Google Inc., Case No. 3:10-cv-03561-WHA.]

Your job at the end of this case will be to decide a number of questions regarding the parties' claims and defenses. First, you will decide whether Waymo's claimed trade secrets are enforceable and whether Uber and Ottomotto are liable for misappropriating those claimed trade

### Case 3:17-cv-00939-WHA Document 2553-2 Filed 01/29/18 Page 4 of 4

1	secrets. Then, if you find Uber and/or Ottomotto liable for misappropriation, you will decide the	
2	damages that Waymo should be awarded and you will decide whether the misappropriation was	
3	willful and malicious. Again this is only a summary, you will be instructed on the specific	
4	questions you should address at the end of the parties' presentations.	
5		
6	DATED: January 29, 2018 QUINN EMANUEL URQUHART & SULLIVAN, LLP	
7		
8	By /s/ Charles K. Verhoeven	
9	Charles K. Verhoeven	
10	Attorneys for WAYMO LLC	
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